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Sheet 1 of 3

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT

(Use several sheets if necessary)

TRADEMARK OFFICE

ATTY. DOCKET NO.

10013503-1

APPLICATION NO.

09/896,242

CONFIRMATION NO.

APPLICANT

Scott Leerssen et al.

FILING DATE

June 29, 2001

GROUP

N/A

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A			
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L				
	1M				
	1N				
	1O				
	1P				

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

Cea	1Q	Hewlett-Packard Virtual Vault "Administrator's Guide," HP Part No. B5413-90061, Release A.04.50, E0401, printed 2001, pages ii-xii, and 1-224. Available online at http://docs.hp.com/hpux/pdf/B5413-90061.pdf .
Cea	1R	Hewlett-Packard Trusted Solaris Administration Overview, Chapter 3, "Administering Trusted Networking," - December 2000, pages 71-78. Available online at http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/4120?Ab2Lang=C&Ab2Enc=820-8859-1 .
Cea	1S	Hewlett-Packard Trusted solaris Administration Overview, Chapter 4, "Administering Auditing," - December 2000, pages 87-99. Available online at http://docs.sun.com/ab2/coll.175.4/TRSOLADMINOV/@Ab2PageView/5573?Ab2Lang=C&Ab2Enc=iso-8859-1 .

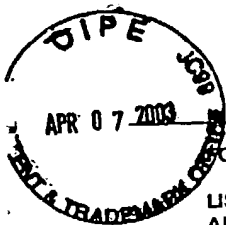
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PATENT APPLICATION

Sheet 1 of 1

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EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
Cea	1A	5,491,808	02/13/1996	Geist, Jr.	
Cea	1B	5,925,126	07/20/1999	Hsieh	
Cea	1C	6,081,664	06/27/2000	Nowlin, Jr.	
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		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
NOTE NO ENGLISH TRANSLATION	Cea	1L	EP 0568717 A	11/10/1993	IBM	
	Cea	1M	EP 0801348 A	10/15/1997	Hewlett-Packard	
	Cea	1N	EP 0632377 A	01/04/1995	Microsoft Corp.	
	Cea	1O	EP 0654735 A	05/24/1995	AT&T Corp.	
		1P				

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

Cea	1Q	"Execution trace analysis of OS/2 2.DLLs Using an Intercept Layer," IBM Technical Disclosure Bulletin, March 1994 (2 pages).
	1R	Foreign Search Report dated January 15, 2003
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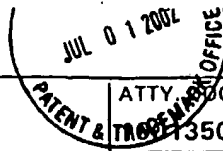
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ATTY. SCKET NO.

13502-1

APPLICATION NO.

09/896,351

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Joubert Berger et al.

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	2P				

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

Cea	2Q	HP Praesidium VirtualVault "Concepts Guide," HP Part No. B5413-90051, Release A.04.00, E1199, printed 199, pages ii-viii, and 1-82. Available on-line at http://docs.hp.com/hpux/pdf/B5413-90051.pdf .
Cea	2R	Sun Microsystems "Trusted Solaris Audit Administration," Trusted Solaris 8, Sun Microsystems Part No. 805-8121-10, December 2000, pages 1-342. Available on-line at http://docs.sun.com/ab2/coll.175.4/TRSOLAUDADMIN/@Ab2TocView?AAb2Lang=C&Ab2Enc=iso-8859-1 .
Cea	2S	Sun Microsystems "Compartmented Mode Workstation Labeling: Encodings Format," Sun Microsystems Part No. 805-8123, December 2000, pages 1-176. Available on-line at http://docs.sun.com/ab2/coll.175.4/TRSOLLBLADMIN/@Ab2TocView?Ab2Lang=C&Ab2Enc=iso-8859-1 .

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Cea	3Q	Sun Microsystems "Trusted Solaris User's Guide," Sun Microsystems Part No. 805-8115-10, December 2000, pages 1-133. Available on-line at http://docs.sun.com/ab2/coll.175.4/TRSSUG/@Ab2TocView?Ab2Lang=C&Ab2Enc=iso-8859-1 .
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